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PTO/SB/05 (11-00)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2071First Inventor Daly FlynnTitle Phase Change Data Storage  
Device for Multi-Level RecordingExpress Mail Label No. EU065979343US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 1]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission:  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s)) ☒ Power of Attorney
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Copies of IDS Citations
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
16. ☐ Other: 5 Citations or
17. ☒ Other: Cont. of Matg. 1.10

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

24963

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Dean B. Watson

Registration No. (Attorney/Agent)

43,242

Signature

Dean B. Watson

Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kelly Daly Flynn  
Serial Number: Unknown  
Filing Date: Unknown  
Examiner/Group Art Unit: / Unknown  
Title: PHASE CHANGE DATA STORAGE DEVICE FOR  
MULTI-LEVEL RECORDING

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

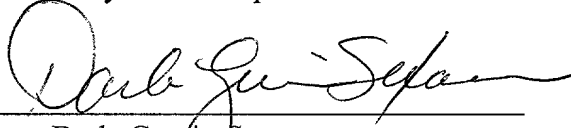
I hereby certify that the below listed correspondence is being deposited with the United States Postal Service with sufficient postage as U.S. EXPRESS MAIL in an envelope addressed to:

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on February 22, 2002 by Express Label No. EU065979343US.

Transmitted herewith is: a Certificate of Mailing by Express Mail; a Utility Patent Application Transmittal; Fee Transmittal for FY 2002; an Assignment; a Recordation Form Cover Sheet; a Declaration and Power of Attorney; a Patent Application including a specification with 14 pages and 3 sheets of drawings; Information Disclosure Statement Under 37 CFR 1.97 and 1.98, including 5 citations, a Return Post Card.

Please charge any and all necessary fees to Deposit Account 05-1068.



Darla Guerin-Sexauer  
Patent Administrator